

**10/559392**

**WP16 Rec'd 1737/PTO 06 DEC 2005**

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q91724

Hisashi MIKOSHIBA, et al.

Appln. No.: Based on PCT/JP05/010097

Confirmation No.: Unknown

Group Art Unit: Unknown

Filed: December 06, 2005

Examiner: Unknown

For: NOVEL OXONOL COMPOUND AND PROCESS FOR PRODUCING THE  
COMPOUND

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

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U.S. Appln. No.: Based on PCT/JP05/010097  
Attorney Docket No.: Q91724

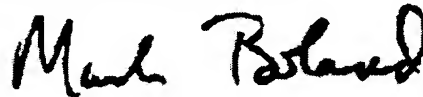
filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a Communication from a foreign patent office in a counterpart application citing such documents (International Search Report for PCT/JP05/010097 dated July 12, 2005), together with an English-language version (if not already included) of at least that portion of the Communication indicating the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,



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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: December 6, 2005

Substitute for Form 1449 A & B/PTO  <div style="text-align: center;"><b><u>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</u></b></div> <div style="text-align: center;"><i>(use as many sheets as necessary)</i></div>				<div style="text-align: right;"><i>Complete if Known</i></div> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td style="width: 50%;">13455997</td> </tr> <tr> <td>Confirmation Number</td> <td>Unknown</td> </tr> <tr> <td>Filing Date</td> <td>December 06, 2005</td> </tr> <tr> <td>First Named Inventor</td> <td>Hisashi MIKOSHIBA</td> </tr> <tr> <td>Art Unit</td> <td>Unknown</td> </tr> <tr> <td>Examiner Name</td> <td>Unknown</td> </tr> <tr> <td>Attorney Docket Number</td> <td>Q91724</td> </tr> </table>		Application Number	13455997	Confirmation Number	Unknown	Filing Date	December 06, 2005	First Named Inventor	Hisashi MIKOSHIBA	Art Unit	Unknown	Examiner Name	Unknown	Attorney Docket Number	Q91724
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U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> <i>(if known)</i>		
		US 6,461,417	B1	10-08-2002	Shadi L. Malhotra, et al.
		US			
		US			
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> <i>(if known)</i>			
		JP	2004-188968	A	07-08-2004		
		JP	2000-52658	A	02-22-2000		
		JP	2003-39830	A	02-13-2003		
		JP	2001-146074	A	05-29-2001		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
		P. SAFER, "Dichotomy in the ring opening reaction of 5-[(2-furyl)methylidene]-2,2-dimethyl-1,3-dioxane-4,6-dione with cyclic secondary amines", Collection of Czechoslovak Chemical Communications, 2000, pp. 1911-1938, Vol. 65, No. 12	Abstract only
		HANS-MARTIN WEBER, et al., "Azahexamethin-Neutrocyanine mit einem Disminocyclopropenyliden-Auxochrom", Chemische Berichte, 1988, pp. 1791-1794, Vol. 121, No. 10	
		International Search Report dated July 12, 2005	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.